

Integration Joint Board

Agenda item:

Date of Meeting: 16 June 2021

Title of Report: Strategic Risk Register Review

Presented by: Judy Orr, Head of Finance and Transformation

The Integration Joint Board is asked to:

- Consider the updated strategic risk register for the Health and Social Care Partnership.
- Require the Chief Officer to ensure that dates are added for all new mitigation actions identified and actions are completed to timescales agreed
- Note that strategic risks will continue to be reviewed and monitored on a six monthly basis and reported to the Board by the Chief Officer.

1. EXECUTIVE SUMMARY

- 1.1 The Audit and Risk Committee approved the updated Risk Management Strategy on 20 April 2021. This requires that the Chief Officer ensures that the IJB is able to review risks which could impact on the Strategic Plan twice per year, and that the Strategic Risk Register is presented to the IJB and the Clinical Care and Governance Committee every 6 months.
- 1.2 This report contains the updated Strategic Risk Register following a workshop session for IJB members on 27 May 2021 when the register was comprehensively reviewed.
- 1.3 The workshop agreed a number of improvements to the register including the separation out of consequences from the description of the risk, and the removal of the risk appetite column which was felt to be confusing. Participants also requested that target dates be added for all new risk mitigations and this is still to be completed by the risk owners.
- 1.4 The update risk register is presented for consideration. The Board is also asked to note that the risks will be updated every 6 months as set out in the recently updated Risk Management Strategy.

2. INTRODUCTION

- 2.1 This report updates the Board on the strategic risks facing the organisation.

3. DETAIL OF REPORT

- 3.1 This report summarises the key strategic risks facing the Health and Social Care Partnership. There are 20 risks identified in total. New mitigations are detailed to reduce the impact of the risks. Two risks, SSR07 sustainability of commissioned providers, and SSR11 Communications and Engagement are both assessed as “Very High – 20” even after mitigations.
- 3.2 The workshop held on 26 May was well attended by IJB members. It was facilitated by Internal Audit. The register was split into 3 sections and each group reviewed one section in detail. The notes from that review are attached at Appendix 2. The updated risk register is attached at Appendix 1. It should be noted that even after mitigating actions there are 2 risks classed as Red “Very High -20” which are SSR07 sustainability of commissioned providers, and SSR11 Communications and Engagement.
- 3.3 The participants requested a number of changes to the previous format of the register as follows:
- Consequences be split out from description of the risk
 - The links to strategic objectives be paced in the first column
 - Risk appetite column to be removed
 - All new mitigating actions to have target timelines for completion
 - The risk guidance which is being updated to have a clear process for escalating risks from operational risk registers to this strategic risk register
- 3.4 The first 3 action points above have been completed. The Clinical Care and Governance Manager has agreed to ensure that the recommendation on the process for escalation from operational risk registers will be included in her update of that guidance which is due for completion by end of June.
- 3.5 It is noted that target timescales for all new mitigating actions have not yet been established. It is recommended that the Chief Officers be requested to ensure that risk owners pick this up and complete this.
- 3.7 Strategic risks will be reviewed and monitored on a six monthly basis and will be reported to the Board by the Chief Officer.

4. RELEVANT DATA AND INDICATORS

- 4.1 Strategic risks have been identified based on previous risk registers.

5. CONTRIBUTION TO STRATEGIC PRIORITIES

- 5.1 Strategic risks may affect ability to deliver against strategic objectives and these relationships are highlighted

6. GOVERNANCE IMPLICATIONS

- 6.1 Financial Impact – These risks have been identified where relevant.
- 6.2 Staff Governance – These risks have been identified where relevant.

6.3 Clinical Governance – These risks have been identified where relevant.

7. PROFESSIONAL ADVISORY

7.1 Professional Advisory leads have participated in the update of the risks.

EQUALITY AND DIVERSITY IMPLICATIONS

8.

8.1 None directly from this report.

9. GENERAL DATA PROTECTION PRINCIPLES COMPLIANCE

9.1 None directly from this report.

10. RISK ASSESSMENT

10.1 Risks are detailed within the report.

11. PUBLIC AND USER INVOLVEMENT AND ENGAGEMENT

11.1 None directly from this report.

12. CONCLUSIONS

12.1 This report summarises the key strategic risks facing the Health and Social Care Partnership. There are 20 risks identified in total. New mitigations are detailed to reduce the impact of the risks. Two risks, SSR07 sustainability of commissioned providers, and SSR11 Communications and Engagement are both assessed as “Very High – 20” even after mitigations.

13. DIRECTIONS

Directions required to Council, NHS Board or both.	Directions to:	tick
	No Directions required	√
	Argyll & Bute Council	
	NHS Highland Health Board	
	Argyll & Bute Council and NHS Highland Health Board	

APPENDICES:

Appendix 1 – Strategic Risk Register updated 26 May 2021

Appendix 2 – Notes from Workshop held 26 May 2021 to review Strategic Risks

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